

**香港證券交收指示表格**
**HK Securities Settlement Instruction Form**

 致：致富證券有限公司  
 To: Chief Securities Limited

 (中央結算編號: B01584)  
 (CCASS ID: B01584)

 賬戶號碼  
 Account Number: \_\_\_\_\_

 賬戶名稱  
 Account Name: \_\_\_\_\_

請按下列資料辦理證券交收手續：

Please input the following Settlement Instructions (SI/ISI) to effect settlement with details as follows:

|   |   |  |   |
|---|---|--|---|
| <b>交收方法</b><br><b>Settlement Method</b>   |   | <input type="checkbox"/> 股票交收指示 SI <input type="checkbox"/> 投資者交收指示 ISI  |   |
| <b>指示類別</b><br><b>Instruction Type</b>  |   | <input type="checkbox"/> 存入證券 Receipt of Securities <input type="checkbox"/> 提取證券 Delivery of Securities   |   |
| <b>提取證券原因 (SI)</b><br><b>Reason of Delivery (SI)</b>  |   |  | <b>交收日期</b><br><b>Settlement Date</b>                                   |
| <b>交收對手編號</b><br><b>Counterparty ID</b>   | <b>交收對手名稱</b><br><b>Counterparty Name</b> | <b>客戶於交收對手賬戶號碼</b><br><b>Client A/C No. at Counterparty</b>  | <b>交收對手之聯絡人及電話</b><br><b>Counterparty Contact Person &amp; Tel. No.</b> |
| <b>收款/付款指示 Payment Instruction:</b><br>如客戶沒有選擇指示，將以“無需付款交收”執行。<br>“Free of Payment” will be the default payment instruction if none of the boxes are selected.<br>相關費用將於客戶之股票戶口內扣除。<br>All charges in relation to the settlement instructions will be debited from the client's securities account.<br>提取證券每隻每手收取HK\$5，最低收費每隻HK\$500。<br>Delivery of Securities is charged at HK\$5 per lot. The minimum charge is HK\$500 per stock. |   | <b>請選擇其中一項 Please select ONE of the choices.</b><br><input type="checkbox"/> 無需付款交收 Free of Payment (FOP)<br><input type="checkbox"/> 貨銀對付交收 Delivery / Receipt Versus Payment (DVP)<br><input type="checkbox"/> 即時貨銀對付 Real Time Delivery / Receipt Versus Payment (RDP)<br>交收金額 (如需要) Settlement Amount (If any):<br>港幣 HKD: _____ |   |
| <b>股票編號</b><br><b>Stock Code</b>  | <b>股票名稱</b><br><b>Stock Name</b>          | <b>股票數量</b><br><b>Stock Quantity</b>   | <b>內部專用 For Office Use Only</b><br>輸入編號 Input No.      收費 SI Fee        |
|   |   |  |   |
|   |   |  |   |
|   |   |  |   |
|   |   |  |   |
|   |   |  |   |
| <b>內部專用 For Office Use Only</b>   |   | <b>總數 (港幣\$) Total (HK\$)</b>  |   |
| <b>備註 Remarks:</b>  |   | <b>目的 Purpose:</b><br><input type="checkbox"/> C = Broker - Custodian <input type="checkbox"/> I = Investor - Intermediary<br><input type="checkbox"/> M = Portfolio Movement <input type="checkbox"/> Others: _____   |   |

 賬戶持有人簽署  
 Authorised Signature: \_\_\_\_\_

 日期  
 Date: \_\_\_\_\_

**內部專用 For Office Use Only**

|       |           |              |
|-------|-----------|--------------|
| 提交職員: | 總行/分行:    | 內線/時間:       |
| 簽署核對: | CCASS 輸入: | 交收對手確認內線/時間: |